

LAMPIRAN  
LAPORAN TAHUNAN KPK  
2018



**DIRECTORATE  
OF INTERNAL  
MONITORING**

**INTERNAL MONITORING**

NO.	ACTIVITIES	DESCRIPTION
1	Financial/Performance Audit/Review	<ol style="list-style-type: none"> <li>1. Conducted examination related to lost state property (BMN).</li> <li>2. Conducted an evaluation of the KPK's Performance Accountability Report and System in 2017.</li> <li>3. Assisted in Performance Contracts Issuance in 2018.</li> <li>4. Reviewed the absorption of the KPK budget, Realization of Non-Tax State revenues, Procurement of Goods / Services and compliance with the improvement of domestic products IV FY 2017.</li> <li>5. Assisted work units related to special audit by BPK between 2010-2017.</li> <li>6. Prepared Performance Contract and Key Performance Indicator (KPI) to Directorate of PI at in 2018.</li> <li>7. Conducted Risk Maturity Assessment and Audit Strategic Compilation;</li> <li>8. Prepared the Annual Supervision Work Program (PKPT) in 2018.</li> <li>9. Conducted an inspection to disclose facts/events on the loss of 1 operational mobile phone owned by KPK loaned to a staff of PI.</li> <li>10. Conducted a performance reviewed on the activities of the Anti-Corruption Learning Center (ACLC) at the Directorate of Education and Community Services (Dikyanmas) for the period of 2016-2017.</li> <li>11. Conducted preliminary reviewed of the performance of the KPK budget implementation for the 2017 fiscal year.</li> <li>12. Conducted a reviewed on the performance of the KPK budget for the 2017 budget year.</li> <li>13. Assisted the activities of Technical Guidance for Independent Improvement of APIP Capability from the BPKP.</li> <li>14. Conducted a reviewed to accelerate the resolution of the KPK BMN problem.</li> <li>15. Reviewed management of KPK FY 2018 budget.</li> <li>16. Conducted an inspection to disclose facts/events on the loss of 1 operational mobile phone (Samsung Galaxy S8) owned by KPK loaned to a staff of PI.</li> <li>17. Conducted ed a preliminary survey of programs &amp; activities at the R &amp; D Directorate in the period 2016-2017.</li> </ol>

NO.	ACTIVITIES	DESCRIPTION
		<ol style="list-style-type: none"> <li>18. Conducted preliminary surveys of programs &amp; activities at the Directorate of LHKPN PP in 2016-2017.</li> <li>19. Reviewed the inventory of confiscated goods management by KPK.</li> <li>20. Conducted a preliminary survey of performance review at the KPK Gratification Directorate for the period of 2016-2017.</li> <li>21. Conducted a study of assessment tools or evaluation of external parties to the KPK.</li> <li>22. Assisted the BPK team in the implementation of monitoring of the settlement of state compensation. and follow-up of the results of the first semester of the BPK examination in the KPK.</li> <li>23. Monitoring the follow-up of the action plan on the recommendations of the inspection results. conducted ed by PI and external parties in the first semester of 2018.</li> <li>24. Reviewed in the financial statements of the first semester KPK in 2018.</li> <li>25. Reviewed the administration of compensation and fines.</li> <li>26. Reviewed the performance of the PP LHKPN Directorate in 2016-2017.</li> <li>27. Reviewed the management of the TW TWK TA for 2018 budget.</li> <li>28. Reviewed the KPK Budget Work Plan and Budget for 2019.</li> <li>29. Conducted audits with specific objectives for interception activities in 2017.</li> <li>30. Conducted Human Resource Audits.</li> <li>31. Reviewed the proposed additional human resources expenditure for 2018.</li> <li>32. Conducted performance reviews on the management of confiscated goods in the Evidence and Execution (Labuksi) unit.</li> <li>33. Assisted internal monitoring preparation on financial reports.</li> <li>34. Conducted inspection to disclose facts/events relating to the loss of 1 KPK voice recorder loaned to employee of Pinda.</li> <li>35. Reviewed information from the public regarding allegations of fraud and abuse of authority by KPK officials and employees within the Deputy for Prevention.</li> <li>36. Reviewed the performance of Gratification Status Enactment in the Directorate of Gratification for the period of 2016-2017.</li> <li>37. Reviewed KPK TW III Financial Statements in 2018.</li> <li>38. Reviewed the management of the budget management for KPK TW III 2018.</li> </ol>

NO.	ACTIVITIES	DESCRIPTION
		<p>39. Collected information, data and documents related to complaints from the public regarding alleged ethical violations committed by the internal party of KPK.</p> <p>40. Conducted an inspection to disclose facts/events on the loss of 1 operational mobile phone owned by KPK loaned to a staff of Directorate of Investigation.</p> <p>41. Conducted a preliminary survey on the management of personnel information systems, especially HRIS applications within the KPK.</p> <p>42. Reviewed the BMN Needs Plan (RKBMN) for the fiscal year 2020.</p> <p>43. Reviewed building and other capital-related expenditures (intangible assets) and inventory of intangible assets on the balance sheet in the financial statements of KPK for 2018 fiscal year.</p> <p>44. Reviewed the performance of the personnel information system within the KPK.</p>
2	KPK Organizational Integrity	<p><b>a. Ethics &amp; Professional Enforcement</b> Ethics enforcement require repressive activities as a follow-up to complaints from both internal parties or the community in general or from the results of supervision in the violation of the code of ethics and discipline by the chairperson, advisors or employees of KPK. The Directorate of PI is committed to follow up on all complaints regarding KPK leaders, advisors and employees who have violated the code of ethics. In 2018 there were 34 internal complaints received and all were followed up by the Directorate of PI.</p> <p><b>b. Socialization</b> In 2018 socialization activities have been carried out for both the internal KPK and the external parties of the KPK. For internal parties, the KPK has carried out a socialization of the code of conduct for all KPK employees. It is hoped that through this outreach activity all employees will increasingly understand the basic values, code of ethics and behavioral guidelines of the KPK so as to minimize the occurrence of violations caused by a lack of knowledge from employees. Whereas the dissemination of information to external parties is related to cases where individuals claimed to be KPK employees or misuse of KPK attributes. These socialization activities include:</p>

NO.	ACTIVITIES	DESCRIPTION
		<ul style="list-style-type: none"> <li>• Coordination with the National Narcotics Agency (BNN), prepare and conduct joint drug testing within KPK.</li> <li>• Plan and carry out outreach activities and FGDs related to the Code of Ethics and KPK Discipline Regulations.</li> <li>• PUPI socialization through KPK Portal</li> <li>• UPG socialization on digital signage</li> <li>• Integrity Survey socialization on digital signage.</li> </ul> <p><b>c. Urinalysis</b> Urinalysis (urine examination) conducted by the Directorate of PI is an effort to Prevent, Eradicate Drug Abuse and Illicit Circulation (P4GN) in collaboration with the National Narcotics Agency (BNN). This urinalysis activity was carried out in November 2018 attended by a total of 330 KPK employees. During this activity, BNN also conducted socialization on the danger of narcotics.</p> <p><b>d. Organizational Integrity Index</b> The value of KPK's organizational index is measured through an organizational integrity survey in collaboration with the Directorate of Research and Development. This survey is conducted every 2 years and the last measurement was conducted in 2017 with an achievement of 3.79 out of scale 5. 2016 was the year of intervention where each working unit made efforts to improve the rate of organizational integrity to meet target 3.80 out of scale 5s et in 2017. In an effort to improve organizational integrity, Directorate of PI was also involved in the code of ethics enforcement-related activities.</p> <p><b>e. Gratification Control Unit (UPG)</b> As the follow-up to the implementation of Presidential Decree (Inpres) No.7/2015 on Prevention and Corruption Eradication Action, KPK through the Leadership Decree Number KEP-1275 / 01/12 / 2015 established an internal Gratification Control Unit (UPG) Implementation Team under the coordination of Directorate of PI.</p> <p>Prior to formation of UPG, the handling of internal gratification reporting was carried out by Directorate of Gratification. The internal UPG has the function to prevent corruption, enforce the Code of Ethics and the Commission Employment Regulation through internal reporting and gratuities controlling. From January to December 17th, 2018, the Directorate of PI received 197 Internal Gratification Reports submitted by the head and employees of KPK with the following details:</p>

NO.	ACTIVITIES	DESCRIPTION
		<ul style="list-style-type: none"> <li>Secretariat General 1</li> <li>Secretariat for Information and Data 1</li> <li>Chairman 30</li> <li>KPK Advisors 16</li> <li>Coordination and Supervision for Prosecution 1</li> <li>Coordination and Supervision for Prevention 13</li> <li>Coordinator of Secretariat for Chairman 1</li> <li>Directorate of Inter Commissions and Agencies Networking Assistance (PJKAKI) 5</li> <li>Directorate of Data and Information Processing 1</li> <li>Directorate of Internal Monitoring 2</li> <li>Directorate of Investigation 8</li> <li>Directorate of Prosecution 3</li> <li>Directorate of Monitoring 3</li> <li>Directorate of Research and Development 3</li> <li>Directorate of PP LHKPN 16</li> <li>Directorate of Gratification 32</li> <li>Directorate of Public Complaints 2</li> <li>Directorate of Public Education and Service 23</li> <li>Directorate of Preliminary Investigation 2</li> <li>Deputy of Prevention 1</li> <li>Bureau of General Affairs 10</li> <li>Bureau of Human Resources 7</li> <li>Bureau of Public Relations 10</li> <li>Bureau of Legal Affairs 5</li> <li>Center for Anti-corruption Education 1</li> </ul>
3	Corruption Case Examination	<p>Examination are one of the internal supervision activities regulated as the task of Directorate of PI Directorate of Internal Monitoring as stipulated in KPK Regulation No.3/2018 on Organization and Work Procedure of the Corruption Eradication Commission in paragraph (4) letter h: "Examination of TPK case handling and/or TPPU cases". The definition of examination according to KPK Regulation No. PER- 02/2015 date February 17, 2015 on General Guidelines for Internal Supervision, Examination is a research activity and examination of case files at all levels of case handling starting from investigation, investigation, prosecution, and implementation of court decisions (executions) by functional internal supervisors and/or KPK Investigators / Public Prosecutors / Other parties appointed for and on behalf of the Directorate of PI.</p> <p>In 2018, the Directorate of Internal Supervision carried out 9 activities as follows:</p> <ol style="list-style-type: none"> <li>Conducted a preliminary survey to review case handling at the pre-investigation, investigation and investigation stages.</li> <li>Conducted review of case / case handling at the pre-investigation, investigation and investigation stages.</li> <li>Conducted information and data collection as part of Special Examination activity planning.</li> </ol>

NO.	ACTIVITIES	DESCRIPTION
		<ol style="list-style-type: none"> <li>Conducted information, data and document collection activities related to the e-KTP case investigation.</li> <li>Conducted special examination related to the e-KTP case investigation</li> <li>Conducting studies related to irregularities indication in the process of investigations conducted by the Directorate of Investigation which allegedly committed criminalization, fabricated false evidence and reports against FY, BS et al.</li> <li>Conducted performance reviews on the management of confiscated goods in the Production unit.</li> <li>Conducted examination activities on corruption crime case under suspect name of PA.</li> <li>Conducted general examination activities on corruption crime case under suspect name of BH.</li> </ol> <p>There was a significant increase in performance achievement compared to that of 2018. This is due to the Public Prosecutor who is deployed in Directorate PI who was tasked to conduct examination activities.</p>
4	Consultancy	<p>In an effort to provide added value to the KPK organization, the Directorate of PI runs a consultative function. The Consultation can be carried out on KPK employees and work units in need of advice and recommendations. In 2018, consultancy can be conducted in an inherent manner with activities carried out such as audits, and reviews or by specific requests from work units or employees. During 2018, 26 consultancy activities have been conducted including:</p> <ol style="list-style-type: none"> <li>Compilation of studies on handling conflicts of interest.</li> <li>Supporting LK Compilers.</li> <li>Entry of Labuksi.</li> <li>Consultative HRM Delivery.</li> <li>Implementation of Ethics &amp; Professional Consultation (22 Activities).</li> </ol>
5	Coordination with Related Law Enforcers on the abuse of KPK identity	<p>In 2018, 20 (twenty) reports of public complaints relating to individuals claiming to be KPK employees and misusing the name of the KPK were followed-up. In an effort to prevent the rise of individuals claiming to be KPK employees, the KPK Chairperson issued a New Circular Number: B-933 / PI .05 / 01- 42/02/2018 on February 20th 2018 to notify Minister of Home Affairs, all governors, regents, and mayors as well as chairpersons of the DPRD, and general public on misuse of KPK name by individuals / NGOs who use or act on behalf of the KPK to obtain benefits for certain individuals / groups. The Directorate of PI also coordinated with the local enforcers to resolve these complaints.</p>

NO.	ACTIVITIES	DESCRIPTION
6	Monitoring and Development Supports	<p>In order to help achieve its vision and mission, the Directorate of PI conducted sustainable improvement activities through increasing the level of capability called the Internal Audit Capability Model (IACM).</p> <p>The IACM is a framework that identifies the fundamental aspects needed for the realization of an effective internal supervision unit in the public sector. The IACM provides the path of improvement that public sector organizations should follow to develop effective internal supervision units, meeting professional expectations and organizational governance needs.</p> <p>Based on the results of capability assessment (IACM) independently conducted in collaboration with the BPKP, the capability the Directorate of PI is at level-3 out of 5 levels. The Directorate is committed and strives to reach level 4 of the IACM by 2019. This target is earlier than the 2015-2019 RPJMN target which requires all Government Internal Supervisory Apparatus (APIP) to reach the third level by 2019.</p> <p>Other activities that have been carried out in the development and improvement of internal supervision are developing procedures to implement follow-up monitoring, revising the Risk Based Internal Audit (RBIA) guidelines, compiling guidelines for supervision of quality control, compiling implementation guidelines related to peer review, preparing related SOP supplements substance of obstacles / disruptions in the implementation of Audit / Examination activities in Jakarta.</p>
7	Internal Monitoring Effectiveness Survey	<p>In an effort to improve the efficiency and effectiveness of the business process, the Directorate of PI conducted a survey on the effectiveness of internal supervision by distributing work unit response questionnaires to the auditee (audited work unit) after completion of the audit / review. The purpose of this activity is to get feedback from other directorates / bureaus related to the services of the Directorate of PI in internal supervision activities and the recommendations it provided. From the questionnaire submitted to the auditee, the directorate's internal monitoring effectiveness index is 3.81 from the maximum value of 5 in semester I.</p>

### MANAGEMENT OF COMPLAINTS RELATED TO PROFESSIONAL CODE OF ETHICS/ DISCIPLINARY REGULATIONS IN KPK IN 2018

NO.	INTERNAL COMPLAINTS	NUMBER OF CASES
1	Related to suspicion of violation in Code of Ethics/disciplinary regulations	79
2	Related to finance/performance	39
Total		118

NO.	REPORTS RELATED TO VIOLATION OF CODE OF ETHICS/ DISCIPLINARY REGULATIONS	NUMBER OF CASES
1	Follow up is completed	69
2	In process/investigation	10
Total		79

NO.	REPORTS ON COMPLETED CASES OF VIOLATION IN PROFESSIONAL CODE OF ETHICS	NUMBER OF CASES
1	Follow up with related law enforcers	47
2	Follow up with data and information collection/investigation	22
Total		69

NO	FOLLOW-UP ON COMPLAINTS RELATED TO FINANCE/ PERFORMANCE	NUMBER OF CASES
1	Materials for finance/performance audits	33
2	State-owned property	4
3	Follow up to the Directorate of Gratification Control	1
4	Beyond KPK authority	1
Total		39

NO	REPORTS OF THE RESULTS ON INFORMATION COLLECTION/ INVESTIGATION	NUMBER OF CASES
1	Follow up with recommendation for coaching, mentoring and counselling with supervisor	8
2	Guilty of violation of code of ethics/disciplinary regulations	4
3	Not guilty of violation of code of ethics/disciplinary regulations	10
Total		22

NO	TYPES OF VIOLATIONS	NUMBER OF CASES
1	Guilty of moderate violation	3
2	Guilty of severe violation	1
Total		4