SNI ISO 37001 CERTIFICATION IN INDONESIA

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OUTLINE

- STANDARDIZATION AND CONFORMITY ASSESSMENT
- SNI ISO 37001 AND ITS CERTIFICATION
- BENEFITS AND CHALLENGES
STANDARDIZATION AND CONFORMITY ASSESSMENT
STANDARDIZATION AND CONFORMITY ASSESSMENT IN INDONESIA (Law No. 20/2014)
INSTITUTIONAL ARRANGEMENT

BSN
STANDARDS

KAN
ACCREDITATION

NMI/BSN
METROLOGY

CONFORMITY ASSESSMENT BODIES
GOVERNMENT PRIVATE

INDUSTRIES OR ORGANIZATION
SNI Development Process

1. Planning
2. Drafting/Consensus
3. Public comment
4. Stipulation
5. Maintenance

PNPS
Verification
SISPK
Stipulation
Publication

PNPS proposal
Drafting
Final draft
Review

Stakeholder needs
Public comment
2nd Public comment*

BSN
TC/STC
STAKEHOLDER

INTERNATIONAL BUSINESS INTEGRITY CONFERENCE (IBIC) 2018
(n) ACCREDITATION SCHEMES

- HACCP CB (ISO/IEC 17065)
- Ecolabel CB (KAN Guide 801)
- Food Safety (ISO 22000) - CB (ISO/IEC 17021)
- Organic Foods CB (KAN Guide 901)
- Sustainable Forest Management CB (ISO/IEC 17021)
- Timber Legality CB (ISO/IEC 17065)
- Information Security Management System (ISO 27001) - CB (ISO/IEC 17021)
- Medical Devices Quality Management System (ISO 13485) - CB (ISO/IEC 17021)
- Green House Gases Verification/Validation Body (ISO 14065)
- Energy Management System (ISO 50001) - CB (ISO/IEC 17021)
- Security Management System for Supply Chain (ISO 28000) - CB (ISO/IEC 17021)
- Tourism Business CB (ISO/IEC 17021)
- Anti Bribery Management System (ISO 37001) - CB (ISO/IEC 17021)
- Halal Product Assurance (ISO/IEC 17065)
- Under development : ICAO Corsia, ISO 45001, ...
SNI ISO 37001 AND ITS CERTIFICATION
It is designed to help an organization establish, implement, maintain, and improve an anti-bribery compliance program.

It includes a series of measures and controls that represent global anti-bribery good practices.

The standard is flexible and can be implemented by a wide range of organizations, including:

- Large organizations
- Small & medium sized enterprises (SMEs)
- Public, SOEs and private organizations
- Non-governmental organizations (NGOs)
SNI ISO 37001 STRUCTURE

Plan

4. Context of organization
4.1 Organization and its Context
4.2 Needs and Expectations of Stakeholders
4.3 Scope of ABMS
4.4 Anti-bribery Management System
4.5 Bribery Risk Assessment

5. Leadership
5.1 Leadership and Commitment
5.1.1 Governing Body
5.1.2 Top Management
5.2 Anti-Bribery Policy
5.3 Roles, responsibilities and authorities
5.3.1 Roles and Responsibilities
5.3.2 Anti-bribery Compliance Function
5.3.3 Delegated Decision-making

6. Planning
6.1 Actions to address ABMS Risks and Opportunities
6.2 ABMS Objectives & Planning

7. Support
7.1 Resources
7.2 Competence
7.2.1 General
7.2.2 Employment Process
7.3 Awareness and Training
7.4 Communication
7.5 Documented information
7.5.1 General
7.5.2 Creating and Updating
7.5.3 Control of Documented Information

Do

8. Operation
8.1 Operational Planning and Control
8.2 Due Diligence
8.3 Financial Controls
8.4 Non-financial Controls
8.5 Anti-bribery Controls
8.6 Anti-bribery Commitments
8.7 Gifts, Hospitality, Donations and similar Benefits
8.8 Managing Inadequacy of AB Controls
8.9 Raising Controls
8.10 Investigating and Dealing with Bribery

Check

9. Performance Evaluation
9.1 Monitoring, Measurement, Analysis & Evaluation
9.2 Internal Audit
9.3 Top Management Review
9.3.1 Top Management Review
9.3.2 Governing Body Review
9.4 Review by Anti-bribery Compliance Function

Act

10. Improvement
10.1 Nonconformity and Corrective Action
10.2 Continual improvement

Loi, 2016
## Action No 20 of The Presidential Instruction No 10/2016

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21. Penyusunan...
ACCREDITATION AND CERTIFICATION OF SNI ISO 37001
TYPICAL OF SNI ISO 37001 CERTIFICATION PROCESS

- APPLICATION
  - APPLICATION REVIEW
    - AUDIT
      - AUDIT RESULTS REVIEW
        - CERTIFICATION DECISION
          - SURVEILLANCE/ RE-CERTIFICATION

Detail information of applicant organization, SNI ISO 37001 management system documentation, etc

- Step 1 audit (audit of documented information)
- Step 2 audit (on site audit)
KAN accreditation scheme for ABMS CB has been operated since June 2017, 6 ABMS CB have been accredited and 72 organization have been certified to SNI ISO 37001, including:

- BADAN NARKOTIKA NASIONAL
- BADAN PEMERIKSA KEUANGAN
- SKK MIGAS
- BADAN KARANTINA PERTANIAN
- UD HARI MUKTI TEKNIK
Benefits of Implementing SNI ISO 37001:

- An anti-bribery management system (ABMS) designed to introduce an anti-bribery culture within an organization and implement appropriate controls, which will in turn increase the chance of detecting bribery and reduce its incidence in the first place.

- Assurance to management, investors, employees, customers, and other stakeholders that an organization is taking steps to prevent, detect and address bribery risk.

- To help organization to reduce cost, risk and loss due to bribery; promoting trust and confidence in business and promote organization reputation.
Benefits of SNI ISO 37001 Certification

- SNI ISO 37001 certification demonstrates to customers, stakeholders, business associates, regulatory authorities, personnel, and the public that your organization is committed to ethical business practices.

- In a time of enormous media scrutiny of business ethics, certification also provides a substantial competitive advantage.
CHALLENGES IN IMPLEMENTING SNI ISO 37001

- Organization:
  - implementing a series of measures such as adopting an anti-bribery policy
  - appointing someone to oversee compliance
  - training employees
  - undertaking risk assessments
  - implementing financial & commercial controls
  - establishing reporting and investigation procedures

- Requires leadership and input from top management

- The policy and program must be communicated to all staff as well as external parties such as contractors, suppliers and partners
THANK YOU